

Patent
Attorney Docket No. 032553-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Leigh, Steve et. al.

Application No.: 10/670,504

Filing Date: September 26, 2003

Title: Method and Composition for Solubilising a Biologically Active Compound with Low Water Solubility

Group Art Unit: 2854

Examiner:

Confirmation No.: 8609

FIRST
INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge _____ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of _____ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: April 1, 2004

By

Patrick C. Keane
Patrick C. Keane

Registration No. 32,858



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Leigh, Steve et. al.

Application No.: 10/670,504

Filed: September 26, 2003

For: Method and Composition for Solubilising
a Biologically Active Compound with
Low Water Solubility

Group Art Unit: 2854

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Confirmation No.: 8609

FIRST INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

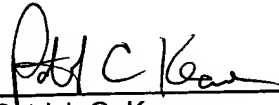
The relevance of these documents is their citation in the specification and/or their citation in a PCT International Search Report, received in connection with what is believed to be a foreign counterpart application to the present application. A copy of the PCT Search Report dated February 5, 2003 is also enclosed.

To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

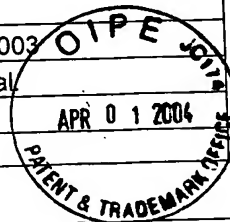
BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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| FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Complete if Known | | |
| | | | Application Number | 10/670,504 | |
| | | | Filing Date | September 26, 2003 | |
| | | | First Named Inventor | Leigh, Steve et. al | |
| | | | Examiner Name | | |
| Sheet | 1 | of | 1 | Attorney Docket Number | 032553-037 |



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| NON-PATENT LITERATURE DOCUMENTS | |
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| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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|--------------------|-----------------|
| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.